

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
5 February 2004 (05.02.2004)

PCT

(10) International Publication Number  
**WO 2004/010895 A2**

(51) International Patent Classification<sup>7</sup>: A61F 2/00

(21) International Application Number:  
PCT/GB2003/003122

(22) International Filing Date: 18 July 2003 (18.07.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0217606.3 30 July 2002 (30.07.2002) GB

(71) Applicant: RAYNER INTRAOCULAR LENSES LTD.  
[GB/GB]; Lowndes House, The Bury, Church Street, Chesham, Bucks HP5 1DJ (GB).

(72) Inventor: TOOP, Peter; Rayner Intraocular Lenses Ltd.,  
1-2 Sackville Road, Trading Estate, Howe, East Sussex BN3 7AN (GB).

(74) Agent: GILL JENNINGS & EVERY; Boradgate Hosue,  
7 Eldon Street, London EC2M 7LH (GB).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: INTRAOCULAR LENS

(57) Abstract: An intraocular lens comprises an optic and one or more haptics, wherein the or each haptic can be compressed in the plane of the lens, and which additionally comprises, around the optic, an annular rim that, in use, is in contact with the posterior capsular sac.

WO 2004/010895 A2



## INTRAOCULAR LENS

### Field of the Invention

This invention relates to an intraocular lens which, in use, inhibits posterior capsular opacification.

### 5 Background to the Invention

Posterior capsular opacification (PCO) is a common long-term complication of cataract surgery. During cataract surgery, the central anterior lens capsule is removed and the natural lens replaced with an artificial intraocular lens. The posterior lens capsule remains intact. After surgery, viable  
10 epithelial cells of the natural lens may remain in the lens capsule equator. These cells can migrate across the inner surface of the posterior capsule, causing it to opacify. The effect, i.e. PCO, is similar to a cataract and for this reason is sometimes called "secondary cataract". PCO is age-related, occurring more in children rather than adults.

15 The standard treatment for PCO is neodymium: yttrium-aluminium-garnet (Nd-YAG) laser posterior capsulotomy. The laser is used to create an opening in the centre of the posterior capsule, to produce a clear area for light to reach the retina. Although the procedure is non-invasive, complications such as retinal detachment and lens damage may arise.

20 EP-A-0962196 describes an intraocular lens wherein the haptics are shaped such that, in a first stage of compression, the proximal part of the haptic can be fully compressed; and in a second stage, the distal part of the haptic can be compressed, to provide a lens that is eventually resistant to haptic failure.

A number of lenses for the prevention of PCO have been proposed, but  
25 on the whole, little if any reduction in PCO has been achieved. There still exists the need for an intraocular lens which is effective at reducing PCO.

### Summary of the Invention

An intraocular lens of the present invention comprises an optic and one or more haptics, wherein the or each haptic can be compressed in the plane of  
30 the lens, and which additionally comprises, around the optic, an annular rim that, in use, is in contact with the posterior capsular sac. The annular rim is preferably present on both the posterior and anterior surfaces of the lens; this facilitates insertion of the lens since the surgeon may not need to distinguish between the two surfaces.

The thickness (i.e. the depth) of the annular rim is preferably greatest in a region proximal to the or each haptic. This allows easier folding of the lens and insertion through a smaller incision. The change in the thickness of the rim is preferably gradual.

5 Preferably, the or each haptic is curved and shaped such that, in a first stage of compression, the proximal part of the haptic can be fully compressed, and, in a second stage, the distal part of the haptic can be compressed. This two-stage compression has been shown to be particularly effective in maintaining contact between the rim and the sac.

10 Lenses of the invention are effective at inhibiting PCO. Haptic compression allows contact between the rim of the optic and the posterior chamber to be maintained, thus preventing the migration of epithelial cells into the posterior lens region.

#### Brief Description of the Drawings

15 Fig. 1 is a plan view of an intraocular lens embodying the present invention.

Figs. 2A to 2C are side views of intraocular lenses which, with the exception of Fig. 2C, embody the present invention.

20 Figs. 3A and 3B are respectively plan and cut-away side views of a lens of the invention in which the thickness of the annular rim is greatest in the region of the optic binding the haptic.

Figs. 4A to 4D are cut-away side views of four lenses of the invention. Each lens comprises an annular rim on the posterior and anterior faces; each lens has a rim of different geometry with respect to the others.

25 Figs. 5A to 5D are similar to Figs. 4A to 4D, except that the lenses only comprise an annular rim on the posterior face.

30 Figs. 6A and 6B are "roll-out" representations of two lenses of the invention, i.e. they are views of the complete optic edge. A plan view of a lens of the invention is also shown, to clarify where a point on a "roll-out" representation corresponds to a position on the optic circumference.

#### Description of the Preferred Embodiments

The size of the annular rim is preferably minimised to allow the optic to be as large as possible. Intraocular lenses are generally inserted into the eye using

an injector; in this case, a lens of the invention must be able to withstand the forces of injection.

A lens of the invention may comprise an optic of negative and/or positive powers. Typical negative powers, but not limited thereto, are -10 to -1 Diopters.

5 Typical positive powers but not limited thereto are +1 to +34 Diopters.

Since the shape/size of the annular rim is proportional to the power of the optic, it may be possible to express this relationship mathematically. This may allow the size of the rim to be calculated simply by determining a patient's optical power.

10 Embodiments of the invention will now be described by way of example with reference to the accompanying drawings.

Fig. 1 shows an intraocular lens having an optic 1, comprising convex faces (the posterior face 2b, is shown) and haptics 3a and 3b. Each haptic comprises an aperture, respectively 4a and 4b. Opposed points of each  
15 aperture, at 5a and 6a, and 5b and 6b, are shown.

These features are such that initial compression of the haptic leads to abutment of opposite walls of the aperture, bringing the opposed points 5a and 6a, and 5b and 6b, into contact, thereby defining a proximal part that is fully compressed and a distal part that can undergo further compression. Such  
20 further compression brings the distal end of each haptic substantially into contact with the periphery of the optic, to give an essentially elliptical shape, in plan.

The lens comprises an annular rim on each of the anterior and posterior faces of the optic, respectively; the posterior rim 7b is shown. The periphery of the posterior optic face 8b is also shown.

25 The haptics hold the capsular sac tight against the posterior annular rim, such that epithelial cells are prevented from migrating to the optic region. This inhibits the onset of PCO.

Figs. 2A and 2B show lenses of the invention, each comprising a biconvex optic 1, having an anterior face 2a and a posterior face 2b. The lenses comprise  
30 compressible haptics 3a and 3b, and annular rims 4a and 4b. In each case, the posterior capsular sac 5 compresses the haptics, such that the posterior annular rim 4b is held tight against the posterior sac. The lens of Fig. 2A is of higher power than that of Fig. 2B, and requires a thicker annular rim since the biconvex optic is wider.

Fig. 2C shows a conventional planar haptic PCO retarding lens. Instead of comprising an annular rim, the edge surfaces of the optic in contact with the haptic (6a and 6b) are effectively tapered. These tapered edges fail to prevent cell migration since there still exists gaps between the posterior capsular sac and the lens edge. Cells may migrate through this gap, resulting in PCO.

Figs. 3A and 3B show a lens similar to that of Fig. 1 except in that the thickness of the annular rims is greatest in the region of the optic binding the haptic.

Figs 4A to 4D illustrate a range of rim geometries which may be suitable for use in the present invention. The lenses are shown in relation to the posterior capsular sac. Each lens has a different rim geometry. Particular reference is made to the lens of Fig. 4D, where the rim and haptic effectively act as a single unit, pressing fast against the posterior capsular sac.

Figs. 5A to 5D show similar rim geometries to those in Figs. 4A to 4D, except that each lens only comprises a rim on the posterior face.

Figs. 6A and 6B are "roll-out" representations of optic edges of two lenses of the invention. In general, it is desirable to reduce the optic width at the points  $90^\circ$  and  $270^\circ$  (as shown in Figs. 6A and 6B) because a known adverse effect of thick optic edges is an increased risk of glare from internal reflections.

Fig. 6A shows a lens of the invention where the edge of the optic abruptly changes from thick to thin. Such an abrupt change is not ideal since slight pressure from the vitreous may be insufficient to force the posterior capsule into the "corner" regions such as that indicated by the angle B.

Fig. 6B shows a preferred embodiment of the invention, in which there is a gradual change of edge thickness, allowing a full (i.e.  $360^\circ$ ) seal between the barrier and the capsule, substantially reducing any edge glare effects. Angle A is preferably less than  $15^\circ$ .

The following Example illustrates the invention.

#### Example

Experiments were performed to compare the extent of PCO resulting from the use of a known intraocular lens "A" (570H Centerflex™ lens, Rayner Intraocular Lenses Ltd.), and a lens of the invention "B" (570C). Essentially, lens B is the same as lens A except that it comprises an annular rim.

All lenses used in this study had an optic body diameter of 5.75 mm and a refractive power of +21 D. Five IOLs of each type were used. The lenses were implanted in a randomised manner by the same surgeon.

5 Dutch Belted pigmented rabbits weighting 2.4-3.0 kg were used. Each animal was prepared for surgery by pupil dilation with 1% cyclopentolate hydrochloride and 2.5% phenylephrine drops, applied topically every 5 minutes for 15 minutes. Anesthesia was obtained with an intramuscular injection of ketamine hydrochloride (50 mg/kg) and xylazine (7 mg/Kg) in a mixture of 7:1, respectively. One drop of topical proparacaine hydrochloride anesthetic was  
10 also placed in each eye prior to beginning surgery. The area around the eye was draped in an aseptic manner, and a lid speculum was placed to retract the lids.

Using aseptic technique and a Zeiss surgical microscope, a fornixed-based conjunctival flap was fashioned. A 3.2-mm partial thickness limbal incision  
15 was then made using a beaver blade and the anterior chamber was entered. One ml of heparin (10,000 units/ml) was injected into the anterior chamber, followed by injection of a viscoelastic material (Amvisc Plus™, Bausch & Lomb). A capsulorhexis forceps was used to create a continuous curvilinear capsulotomy, with a diameter of around 4.5 to 5.0 mm. The phaco handpiece  
20 (Alcon Coopervision Series 10,000) was inserted into the posterior chamber for removal of lens nucleus and cortical material. 0.5 ml of epinephrine 1:1000 and 0.5 ml of heparin (10,000 USP units/ml) were added to each 500 ml of irrigation solution to facilitate pupil dilation and control inflammation. The endocapsular technique was used with the phacoemulsification to take place entirely within the  
25 capsular bag. Any residual cortex was then removed with the same handpiece. After removal of the lens, a viscoelastic was used to inflate the capsular bag. The IOLs were then inserted into the capsular bag using the manufacturer's recommended injector system (Rayner titanium injector). Wound closure was achieved with 10.0 monofilament nylon suture after aspiration of viscoelastic  
30 material.

Combination antibiotics/steroid ointment (neomycin and polymixin B sulfates, and dexamethasone) was applied to the eyes following surgery. The same ointment was placed in the rabbit eyes four times per day for the first postoperative week. This was then discontinued after one week. In the second  
35 postoperative week each animal received topical prednisolone acetate drops

four times per day with discontinuation of the drops following the second postoperative week.

5 All eyes were evaluated by slit lamp examination and scored for ocular inflammatory response at one week, two weeks and three weeks post-operatively. A standard scoring method in different specific categories was used at each examination, including assessment of corneal edema, as well as the presence of cell and flare within the anterior chamber. PCO was evaluated under retro-illumination with the pupil fully dilated.

10 After the final clinical examination at three weeks, the animals were anaesthetised using a 1.6ml intramuscular injection of a 7:1 mixture of ketamine hydrochloride and xylazine, and then humanely euthanised with a 1ml intravenous injection of pentobarbital sodium/phenytoin sodium (Euthasol®, Delmarva Laboratories). Their globes were enucleated and placed in 10% neutral buffered formalin for twenty-four hours. The globes were then bisected  
15 coronally just anterior to the equator. Gross examination and photographs from the posterior aspect (Miyake-Apple view) were performed to assess the PCO development. The intensity of central and peripheral PCO was scored from grades 0-4; see Hansen *et al*, J.Cataract Refract.Surg. 14:605-613 (1988), for details of the method of scoring.

20 Table 1 summarises the results of the PCO scoring done from a posterior (Miyake-Apple) view. In Table 1, OD (*oculus dexter*) refers to the right eye, OS (*oculus sinister*) to the left. In each eye, central and peripheral PCO scores were lower for lens B, and higher for lens A. The average central PCO scores for lenses A and B were 0.90 and 0.39 respectively. The average peripheral scores  
25 for A and B were 1.85 and 0.83 respectively. This illustrates the desirability of a lens of the invention.

Table 1

	Central PCO		Peripheral PCO	
	A	B	A	B
5	0	0.5	3	1
	1	1	2	1
	1	0.5	2	1
	1	0	2	0
10	2.5	0	3	1
	0	0	2	1
	1	1	2	2
	1	0.5	1	0.5
	1	0	1	0
15	0.5	0	0.5	0



CLAIMS

1. An intraocular lens comprising an optic and one or more haptics, wherein the or each haptic can be compressed in the plane of the lens, and which additionally comprises, around the optic, an annular rim that, in use, is in contact  
5 with the posterior capsular sac.
2. A lens according to claim 1, wherein, in use, the optic touches the posterior capsular sac.
3. A lens according to claim 1 or claim 2, which also comprises an annular rim on the anterior surface of the lens.
- 10 4. A lens according to any preceding claim, wherein the or each haptic is curved, and shaped such that, in a first stage of compression, the proximal part of the haptic can be fully compressed, and, in a second stage, the distal part of the haptic can be compressed.
5. A lens according to claim 4, wherein the or each haptic includes an  
15 aperture of which opposed points are brought into contact, in the first stage of compression.
6. A lens according to claim 4 or claim 5, wherein the or each stage of compression is essentially continuous, full compression being reached gradually from the proximal end towards the distal end of the haptic.
- 20 7. A lens according to any preceding claims, wherein the annular rim is thicker in a region proximal to the or each haptic.
8. A lens according to claim 7, wherein the rim comprises a gradual change in the thickness.

Fig.1.

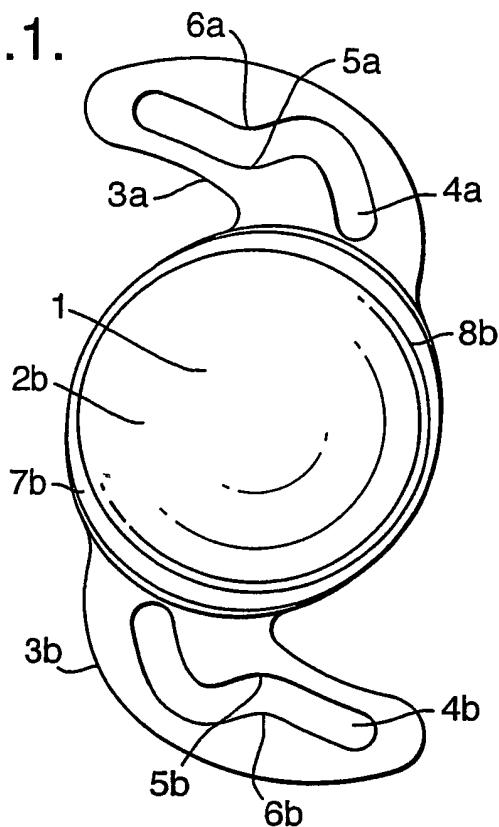


Fig.3A.

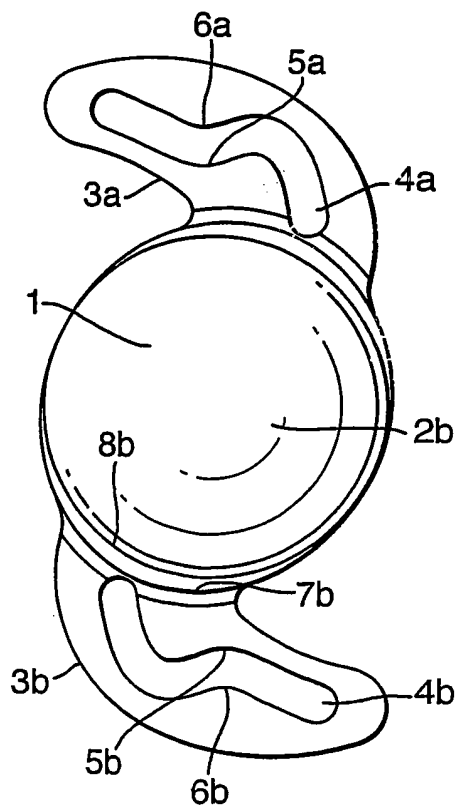
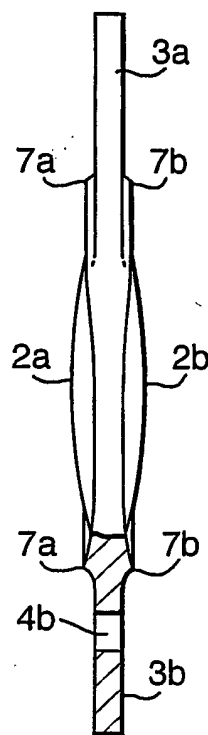


Fig.3B.



SUBSTITUTE SHEET (RULE 26)

Fig.2C.

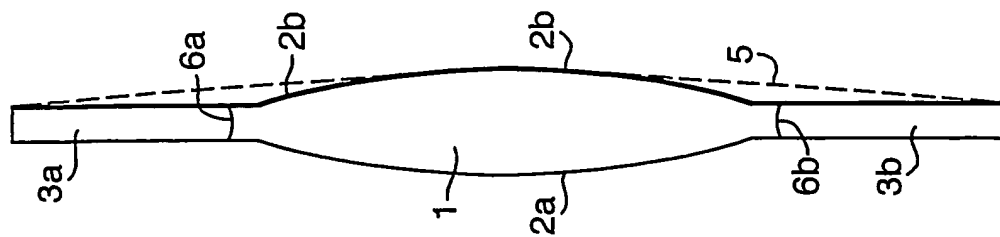


Fig.2B.

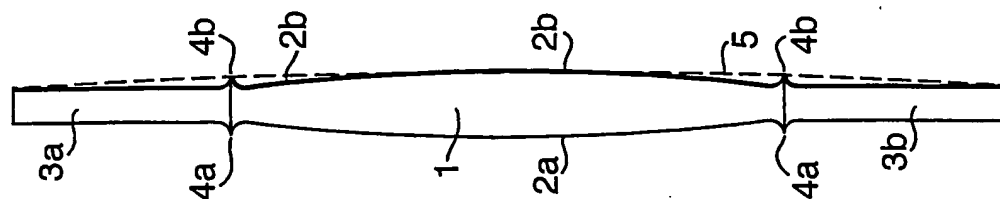


Fig.2A.

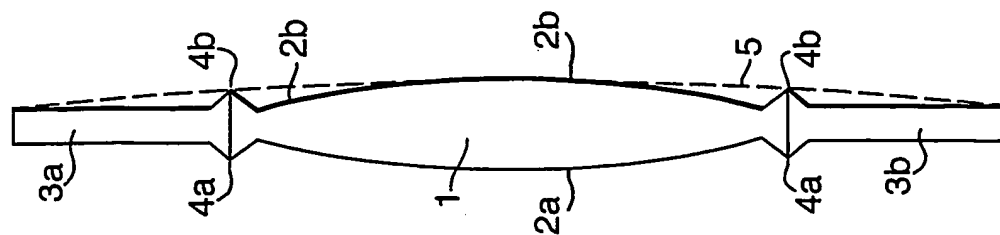


Fig.4A.



Fig.4B.



Fig.4C.



Fig.4D.



Fig.5A.



Fig.5B.



Fig.5C.



Fig.5D.



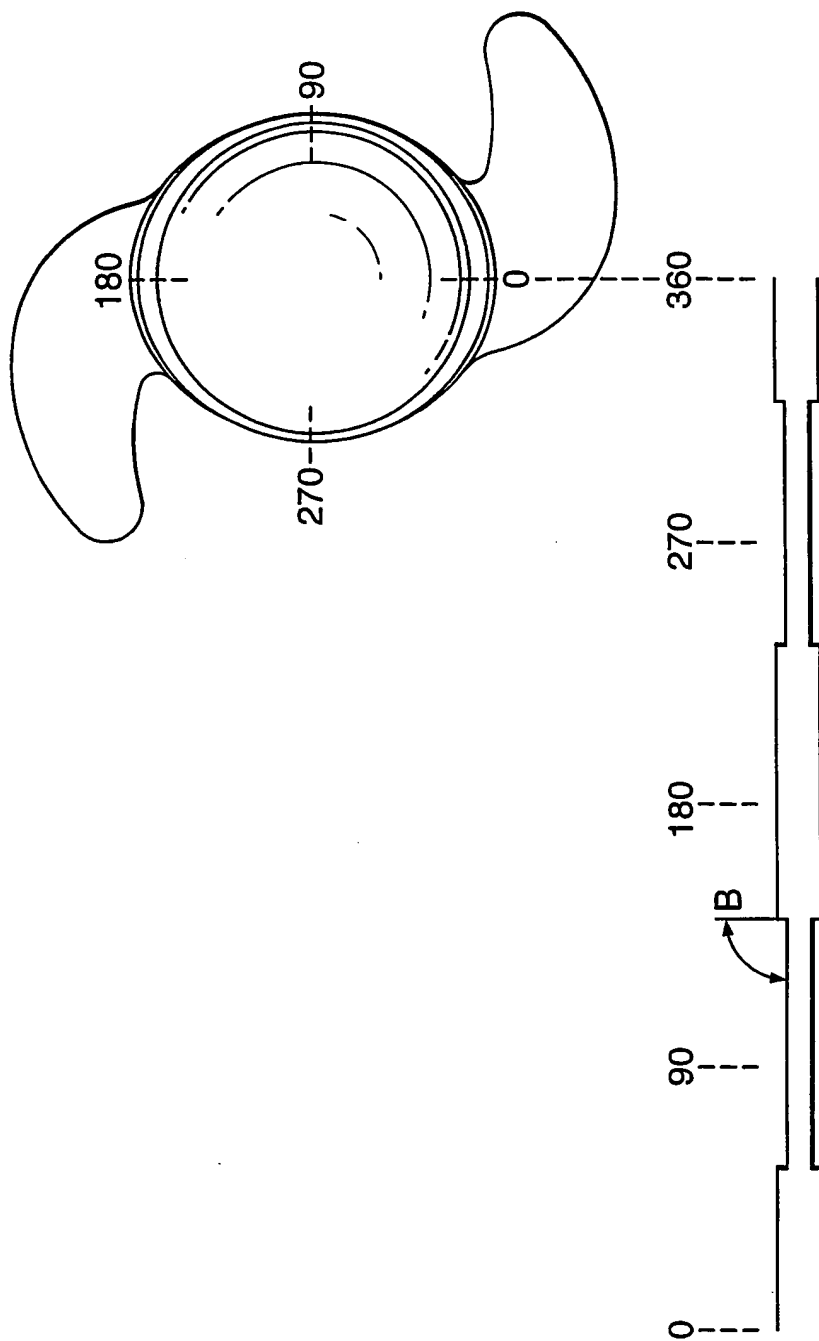


Fig. 6A.



Fig. 6B.

**THIS PAGE BLANK (USPTO)**

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
5 February 2004 (05.02.2004)

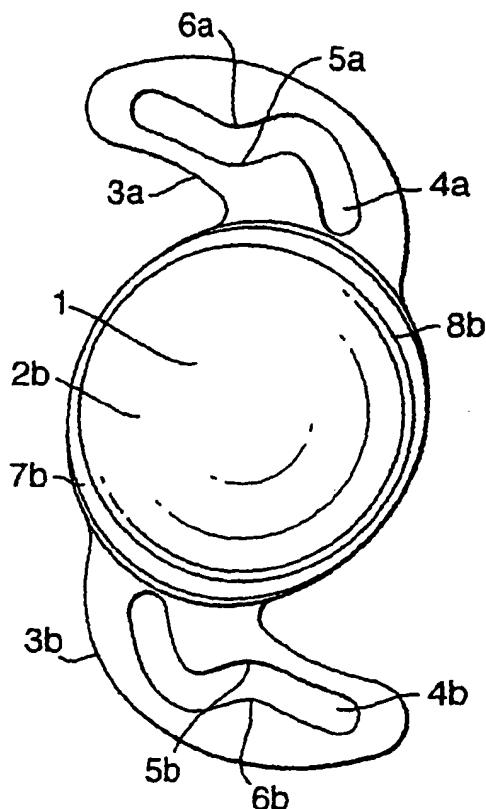
PCT

(10) International Publication Number  
**WO 2004/010895 A3**

- (51) International Patent Classification<sup>7</sup>: **A61F 2/16** (74) Agent: GILL JENNINGS & EVERY; Boradgate Hosue, 7 Eldon Street, London EC2M 7LH (GB).
- (21) International Application Number: PCT/GB2003/003122 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.
- (22) International Filing Date: 18 July 2003 (18.07.2003) (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 0217606.3 30 July 2002 (30.07.2002) GB
- (71) Applicant: RAYNER INTRAOCULAR LENSES LTD. [GB/GB]; Lowndes House, The Bury, Church Street, Chesham, Bucks HP5 1DJ (GB).
- (72) Inventor: TOOP, Peter; Rayner Intraocular Lenses Ltd., 1-2 Sackville Road, Trading Estate, Howe, East Sussex BN3 7AN (GB).

[Continued on next page]

(54) Title: INTRAOCULAR LENS



(57) Abstract: An intraocular lens comprises an optic (1) and one or more haptics (3a, 3b), wherein the or each haptic (3a, 3b) can be compressed in the plane of the lens, and which additionally comprises, around the optic (1), an annular rim (7b) that, in use, is in contact with the posterior capsular sac.

WO 2004/010895 A3



**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**(88) Date of publication of the international search report:**  
15 July 2004



# INTERNATIONAL SEARCH REPORT

Int l Application No  
PCT/GB 03/03122

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 A61F2/16		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61F		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR 2 668 922 A (FRANCESCHI FRANCOIS) 15 May 1992 (1992-05-15)	1,2
Y	-claims; figures	4-6
Y	EP 0 962 196 A (RAYNER INTRAOCULAR LENSES LTD) 8 December 1999 (1999-12-08) cited in the application - the whole document	4-6
X	US 4 244 060 A (HOFFER KENNETH J) 13 January 1981 (1981-01-13) -claims; figures	1
-/-		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "G" document member of the same patent family		
Date of the actual completion of the international search  4 May 2004		Date of mailing of the international search report  12/05/2004
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016		Authorized officer  Kuehne, H-C

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 03/03122

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 200 344 B1 (BLANCHARD VINCENT ET AL) 13 March 2001 (2001-03-13) - column 3, line 44 - line 61 column 5, line 23 - line 40 claims; figure 4	1, 2
A	US 6 190 410 B1 (BLANCHARD VINCENT ET AL) 20 February 2001 (2001-02-20) - column 3, line 44 - line 60 column 5, line 26 - line 45 claims; figure 4	
A	GB 2 167 306 A (RICHARD ALBERT R) 29 May 1986 (1986-05-29) - page 1, line 120 - page 2, line 80 claims; figures	1

Form PCT/ISA/210 (continuation of second sheet) (January 2004)

# INTERNATIONAL SEARCH REPORT

Information on patent family members

In International Application No

PCT/GB 03/03122

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
FR 2668922	A	15-05-1992	FR 2668922 A1	15-05-1992
			AU 9037191 A	11-06-1992
			DE 69104492 D1	10-11-1994
			DE 69104492 T2	24-05-1995
			EP 0558598 A1	08-09-1993
			ES 2065156 T3	01-02-1995
			WO 9208422 A1	29-05-1992
EP 0962196	A	08-12-1999	EP 0962196 A1	08-12-1999
US 4244060	A	13-01-1981	US RE31626 E	10-07-1984
US 6200344	B1	13-03-2001	AU 3912400 A	17-11-2000
			BR 0011218 A	19-03-2002
			CA 2370859 A1	09-11-2000
			EP 1173114 A1	23-01-2002
			JP 2002542885 T	17-12-2002
			WO 0066041 A1	09-11-2000
US 6190410	B1	20-02-2001	AU 3771200 A	17-11-2000
			BR 0011219 A	19-03-2002
			CA 2370865 A1	09-11-2000
			EP 1173113 A1	23-01-2002
			JP 2002542886 T	17-12-2002
			WO 0066042 A1	09-11-2000
GB 2167306	A	29-05-1986	AU 557565 B2	24-12-1986
			AU 4207085 A	22-05-1986
			BR 8502042 A	25-11-1986
			CA 1259452 A1	19-09-1989
			CN 85103328 A ,B	27-08-1986
			DE 3528258 A1	22-05-1986
			ES 8607002 A1	01-11-1986
			FR 2572927 A1	16-05-1986
			IN 162737 A1	02-07-1988
			IT 1186713 B	16-12-1987
			JP 61125351 A	13-06-1986
			NO 850990 A ,B,	16-05-1986
			SE 8501856 A	16-05-1986

THIS PAGE BLANK (USPTO)